



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Attorney Docket No. 045054/0141

Applicant: Hideumi EGAWA

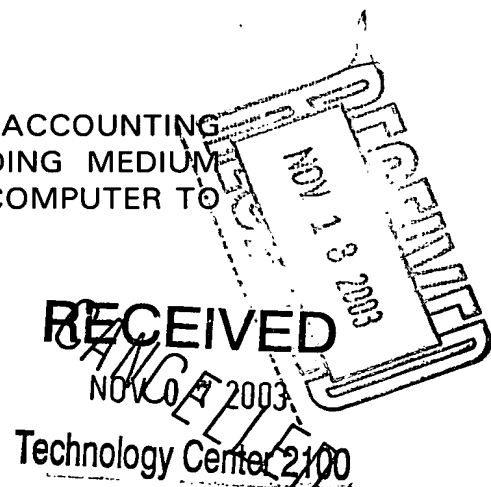
Title: SYSTEM AND METHOD FOR GENERATING ACCOUNTING DATA AND COMPUTER-READABLE RECORDING MEDIUM RECORDED WITH PROGRAM FOR CAUSING COMPUTER TO GENERATE ACCOUNTING DATA

Serial No.: 09/837,328

Filed: April 19, 2001

Examiner: Unassigned

Art Unit: 2161



**INFORMATION DISCLOSURE STATEMENT
UNDER 37 CFR §1.56 and 37 CFR §1.97**

Commissioner for Patents
P.O. Box 1450
Alexandria, Virginia 22313-1450

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GROUP 3600

Sir:

Submitted herewith on Form PTO-SB08 is a list of documents known to Applicant in order to comply with Applicant's duty of disclosure pursuant to 37 CFR 1.56. A copy of each listed document is being submitted to comply with the provisions of 37 CFR 1.97 and 1.98.

The submission of any document herewith, which is not a statutory bar, is not intended as an admission that such document constitutes prior art against the claims of the present application or that such document is considered material to patentability as defined in 37 CFR §1.56(b). Applicant does not waive any rights to take any action which would be appropriate to antedate or otherwise remove as a competent reference any documents which is determined to be a prima facie prior art reference against the claims of the present application.

TIMING OF THE DISCLOSURE

The instant Information Disclosure Statement is believed to be filed in accordance with 37 C.F.R. 1.97(b), prior to the mailing date of a first Office Action on the merits (first scenario). If that is not the case, such as in a second scenario in which a first Office Action on the merits has been mailed before the filing of the instant Information Disclosure Statement, then either a certification or fee is required, and a certification is provided below. If neither of the first or second scenarios is the case, such as if a final Office Action or a notice of allowance has been mailed by the PTO (third scenario), then both a certification and fee are required, and in that case a certification is provided below and also the PTO is authorized to obtain the necessary fee to have the instant IDS considered, from Foley & Lardner Deposit Account #19-0741.

CERTIFICATION

The undersigned hereby certifies in accordance with 37 C.F.R. §1.97(e)(1) that each item of information contained in this Information Disclosure Statement was first cited in a communication from a foreign patent office in a counterpart foreign application not more than three (3) months prior to the filing of this Statement.

RELEVANCE OF EACH DOCUMENT

A translation of a portion of a Japanese Office Action that issued September 24, 2003 with respect to a counterpart Japanese patent application is provided below.

"Note (For cited literature, see the List of Cited Literature)

Claims: 1-8, 9-10, 11-12

Cited Literature: 1, 2

Remarks

Inventions which make it possible to collect receipt and payment information handled by financial institutions and the like via telecommunication lines such as the Internet, and to prepare household accounting data based on said collected information, are disclosed in Cited Literature 1 and 2.

Furthermore, it is found that Cited Literature 1 contains language from which it can be inferred that information on settlements using debit cards is also included in the receipt and payment information handled by financial institutions.

Extending the object of generation of household accounting data to cover households to which debit card users belong, or making the terminal that receives household accounting data into something other than an information processing device located in the house, is found to be a design modification that can be accomplished by a person skilled in the art.

List of Cited Literature

1. Business solutions from NTT Communications. Business Communication, Business Communication Co., Ltd., 1 August 1999, Vol. 36, No. 8, pages 66 to 68.
2. International Publication No. 99/15998 pamphlet.

Record of Prior Art Literature Search Results

Fields searched - IPC 7th edition - G06F17/60-19/00
DB name

Prior Art Literature - Japanese Unexamined Patent Application
Publication H9-22426

This Record of Prior Art Literature Search Results does not constitute a reason for rejection."

Applicant's statements regarding the Japanese Office Action are based on a partial translation that Applicant's representative obtained. These statements should in no way be considered as an agreement by Applicant with, or an admission of, which is asserted in the Japanese Office Action.

Applicant respectfully request that the listed documents be considered by the Examiner and formally be made of record in the present application and that an initialed copy of Form-SB08 be returned in accordance with MPEP §609.

Respectfully submitted,

November 6, 2003
Date

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